

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION  
TRANSMITTAL LETTER  
UNDER 37 C.F.R. 1.53(b)

ATTORNEY DOCKET NO.:  
11129/2

Address to:  
Assistant Commissioner for Patents  
Washington D.C. 20231  
Box Patent Application

Transmitted herewith for filing is the patent application of

Inventor(s) : Michael GOOCH

For : SYSTEM AND METHOD FOR TRADING AN INSTRUMENT

Enclosed are:

1. 9 sheets of specification, 4 sheets of claims, and 1 sheet of abstract.
2. 3 sheets of drawings.
3. Assignment
4. Declaration
5. Appointment of Power of Attorney By Assignee of Entire Interest
6. Verified Statement Claiming Small Entity Status
7. Also enclosed:
8. Return Receipt Postcard.
9. The filing fee has been calculated as shown below:

	NUMBER FILED	NUMBER EXTRA*	RATE (\$)	FEE (\$)
BASIC FEE				760.00
TOTAL CLAIMS	18 - 20 =			
INDEPENDENT CLAIMS	4 - 3 =	1	78.00	78.00
MULTIPLE DEPENDENT CLAIM PRESENT			260.00	
*Number extra must be zero or larger			TOTAL	838.00

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6. Please charge the required application filing fee of **\$419.00** to the deposit account of **Kenyon & Kenyon**, deposit account number **11-0600**.
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Title: System and Method for Trading an Instrument